

100 Point Check List

If the name on the identification supplied does not match, additional documentation must be provided to establish the connection between names i.e. Marriage Certificate

1. PRIMARY DOCUMENTS 70 POINTS

NAME of the signatory verified from one of the following:

- Birth Certificate
- Birth Card issued by the New South Wales Registry of Births, Deaths and Marriages
- Citizenship Certificate
- International Travel Document:
 - a current passport
 - expired passport which has not been cancelled and was current within the preceding 2 years
 - other document of identity having the same characteristics as a passport (e.g. this may include some diplomatic documents and some documents issued to refugees)

Note: Do not score additional points for more than one document.

2. Signatory is a known customer of at least 12 months standing 40 POINTS

Note: This procedure may only be used by authorised deposit-taking institutions (ADIs), banks, building societies, credit unions or registered corporations within the meaning of the Financial Corporations Act 1974 .

3. NAME of signatory verified from a written reference from one of the following, signed by both the person giving it and the signatory: 40 POINTS

- Another financial body certifying that the signatory is a known customer
- Another customer who has been verified as a signatory by the cash dealer
- An acceptable referee (refer to AUSTRAC Guideline No. 3 and Information Circular No. 3)

Note: Customer must be known for at least 12 months by any of the above

4. NAME of signatory verified from one of the following (but only where they contain a photograph or signature that can be matched to the signatory); 40 POINTS

- A licence or permit issued under a law of the Commonwealth, a State or Territory (e.g. an Australian driver's licence)
- An identification card issued to a public employee
- An identification card issued by the Commonwealth, a State or Territory as evidence of the person's entitlement to a financial benefit
- An identification card issued to a student at a tertiary education institution

Note: Additional documents can be awarded 25 points (see category 8 overleaf)

5. NAME and ADDRESS of signatory verified from any of the following: 35 POINTS

- A document held by the cash dealer giving security over the signatory's property
- A mortgage or other instrument of security held by another financial body

6. NAME and ADDRESS of signatory verified from any of the following: 35 POINTS

- A current employer, or a previous employer within the last 2 years
- A rating authority (e.g. land rates)
- The Credit Reference Association of Australia (subject to the Privacy Act 1988)
- Land Titles Office Records

7. NAME, ADDRESS and TELEPHONE NUMBER verified: 25 Points

- By reference to the latest telephone directory published by Telstra or by advice provided by Telstra; and
- By telephone contact with the signatory on that telephone number

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8. NAME of signatory verified from any other secondary identification document relating to the signatory, e.g. marriage certificate (for maiden name only), credit card, council rates notices, telephone account, foreign driver's licence, Medicare Card, etc. 25 Points
- Note: More than one document may be counted, but points scored from a particular source may be counted only once, e.g. if MasterCard and Visa Card issued from the same financial institution, only one may be counted.
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9. NAME and ADDRESS of the signatory verified from any of the following: 25 Points
- The electoral roll compiled by the Australian Electoral Commission and available for public scrutiny
 - An acceptable referee (refer to AUSTRAC Information Circular No. 3)
Note: It is not required that the acceptable referee has known the signatory for 12 months or more
 - The owner, landlord, or a real estate agent acting as managing agent of the premises, if the signatory lives or carries on business in rented accommodation
 - The records of a public utility
 - The records of another financial body of which the signatory is a known customer
 - A record held under a law other than a law relating to land titles (for which, see No. 6)
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10. NAME and DATE OF BIRTH of the signatory verified from any of the following: 25 Points
- The records of a primary, secondary, or tertiary educational institution attended by the signatory within the last 10 years
 - The records of a professional or trade association of which the signatory is a member